

Electronic Acknowledgement Receipt

EFS ID:	6889329
Application Number:	09930786
International Application Number:	
Confirmation Number:	3301
Title of Invention:	AUTOMATED FINANCIAL PORTFOLIO COACHING AND RISK MANAGEMENT SYSTEM
First Named Inventor/Applicant Name:	Ronald E. Sloan
Customer Number:	81331
Filer:	Maura Kathleen Moran./Christine Shayda
Filer Authorized By:	Maura Kathleen Moran.
Attorney Docket Number:	10761.0304-01
Receipt Date:	01-FEB-2010
Filing Date:	15-AUG-2001
Time Stamp:	17:09:14
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	WO0339227_PCTUS0225492_I PER.pdf	141999 c68835184db5a9fad2d799f39ec2bd1558f1ea5	no	3

Warnings:

Information:

2	NPL Documents	WO0339227_PCTUS0225492_ISR.pdf	117854	no	3
			5e22b8ee4c931478f9144104ebc5d1ba4eea2a53		
Warnings:					
Information:					
3	NPL Documents	WO0317168_PCTUS0225500_Decl_Art17-2.pdf	137482	no	3
			2a5150c90b42f8dc6258c805bb23087af52e71db		
Warnings:					
Information:					
4	NPL Documents	WO0317168_PCTUS0225500_IPER.pdf	160979	no	3
			ae02477dcb5b82890fa0e2ab7bedce5b10d60fe2		
Warnings:					
Information:					
5	NPL Documents	Intuit_Press_Release_1997.pdf	436405	no	2
			43052ab886951ab620742e8421fd3a2cd4ad39bb		
Warnings:					
Information:					
6	NPL Documents	Jaffe_1997.PDF	133075	no	2
			7638958f5bb7338cdeafbf277f6eeb4261c65e0e		
Warnings:					
Information:					
7	NPL Documents	Meahdra_1997.pdf	809949	no	4
			5b47b053054474729bbbc5c68d083311da42fec12		
Warnings:					
Information:					
8	NPL Documents	Mortenson_1984.pdf	682030	no	3
			6b0aa5d1013424a2284fb3a2ae393ab9eb9766a0		
Warnings:					
Information:					
9	NPL Documents	Nelson_1998.pdf	597201	no	15
			7a4f44deae4206bee3466ca2fc83f0d6a693cbda		
Warnings:					
Information:					
10	NPL Documents	0184-00_09-430993_OA_08-29-02.pdf	407558	no	10
			0d80bd7f8ca64ba8753f8d20694da8b3e21b9aec		
Warnings:					
Information:					

11	NPL Documents	0183-00_09-431389_OA_11-07-01.pdf	998494	no	20
			f8ad43c94a2bfece568ed0e481946c10805fdb46		
Warnings:					
Information:					
12	NPL Documents	0187-00_09-431394_OA_07-15-02.pdf	365934	no	8
			2a641b1b04fac7cfcb08d9fb88c8f24fdceb8e96		
Warnings:					
Information:					
13	NPL Documents	0185-00_09-431417_OA_06-15-01.pdf	356081	no	8
			4c8e685371db226aeec3d6eb6cdf1913a57f38d2		
Warnings:					
Information:					
14	NPL Documents	0186-00_09-431668_OA_05-24-02.pdf	412541	no	10
			225815c966f589e1ca79bbcd05f1e1ac8a9d8292e		
Warnings:					
Information:					
15	NPL Documents	0189-00_09-431684_OA_09-09-02.pdf	357869	no	9
			ffaa726cd78651340a556206b506da5abf3976cc		
Warnings:					
Information:					
16	NPL Documents	0189-00_09-431684_OA_07-08-02.pdf	223125	no	6
			33a886281312599fd1335257c8196ddf46747d3		
Warnings:					
Information:					
17	NPL Documents	0218-00_09-451596_OA_06-27-02.pdf	200205	no	5
			3af12e22c628d9d70e5b663501ced5585bd29927		
Warnings:					
Information:					
18	NPL Documents	0221-00_09-451667_OA_06-27-02.pdf	187913	no	5
			cc5477d474a5864e8b7fb553b6dfcb0fc96cd1f		
Warnings:					
Information:					
19	NPL Documents	0217-00_09-451670_OA_10-23-01.pdf	667433	no	15
			342ce3f9e0db5bca505b3d2665ad9a10db54b071		
Warnings:					
Information:					

20	NPL Documents	0220-00_09-451675_OA_08-02-02.pdf	425977	no	10
			08f71f4f266389bb7e2919ec16c7d4248ed33e35		
Warnings:					
Information:					
21	NPL Documents	0183-03_09-452273_OA_07-23-02.pdf	263644	no	7
			6c73e88a9c5c0a519cff652f922e9e24dfbc6f00		
Warnings:					
Information:					
22	NPL Documents	0183-03_09-452273_OA_08-29-02.pdf	500820	no	12
			07b3ebe089888a334af8fe474100b97450408f42		
Warnings:					
Information:					
23	NPL Documents	0219-00_09-452276_OA_07-18-02.pdf	324909	no	7
			45e7a0f1a161b33ef6efbcb9c7d46897e4c08a7		
Warnings:					
Information:					
24	NPL Documents	0216-00_09-452280_OA_09-19-02.pdf	323169	no	8
			5eb8e3bf65c66f37288eb04e12d20d286627bc6b		
Warnings:					
Information:					
25	NPL Documents	0368-00_09-520564_OA_04-14-03.pdf	619944	no	14
			4e98a4dad1dd9d2e7df6217cf0fc75fca56269f8		
Warnings:					
Information:					
26	NPL Documents	0368-00_09-520564_OA_07-01-02.pdf	592433	no	13
			da12a5f6f97192f37ee0472ec0e2ebe31510b36b		
Warnings:					
Information:					
27	NPL Documents	0366-00_09-520580_AdvisAction_02-09-2005.pdf	117658	no	3
			b7ea9adba54f857eaf82481215e817338fba22c1		
Warnings:					
Information:					
28	NPL Documents	0366-00_09-520580_AdvisAction_08-06-03.pdf	108998	no	3
			b71854514b1bc88032817fd30c79975010326301		
Warnings:					
Information:					

29	NPL Documents	0366-00_09-520580_AdvisActi on_10-06-04.pdf	131830	no	3
			dc807ad9ea68e57bde12e03e6b9f54e46a57c907		
Warnings:					
Information:					
30	NPL Documents	0366-00_09-520580_NOA_12-0 1-05.pdf	293711	no	6
			537bb77a291920233e2f96593dcff7972ff5bd66		
Warnings:					
Information:					
31	NPL Documents	0366-00_09-520580_OA_03-12 -03.pdf	251770	no	7
			9f2cf0204a45433a0be22b939e9ef490e5bbba48		
Warnings:					
Information:					
32	NPL Documents	0366-00_09-520580_OA_06-16 -2004.pdf	274222	no	8
			ef7e8fe507b0284e37c7f5f02733eb409dab14a9		
Warnings:					
Information:					
33	NPL Documents	0366-00_09-520580_OA_07-25 -02.pdf	269158	no	7
			0c38a08485f9f2284bdlb6e02c4b6655222a2cd6f		
Warnings:					
Information:					
34	NPL Documents	0366-00_09-520580_OA_12-04 -03.pdf	283598	no	8
			3c702fc13cf6ee33301868296fed734e726935c4		
Warnings:					
Information:					
35	NPL Documents	0363-00_09-520600_OA_09-26 -02.pdf	258310	no	6
			5df3920aac7da3f4fe9d813f80ec88582a07fca4		
Warnings:					
Information:					
36	NPL Documents	0359-00_09-520938_OA_02-19 -03.pdf	255068	no	6
			8668280516d4e688407720e3c864c9c9ae5601f6		
Warnings:					
Information:					
37	NPL Documents	0365-00_09-520940_BPAI- Decision_04-23-07.pdf	251625	no	7
			a8b8c8b15a7635a6be5b12f7167013a73c8fda00		
Warnings:					
Information:					

38	NPL Documents	0365-00_09-520940_Exam-Answer_03-21-06.pdf	848104	no	21
			aa7f5418287c3f9bb9d6d9f3b53ebd91410e9821		
Warnings:					
Information:					
39	NPL Documents	0365-00_09-520940_AdvisAction_07-12-04.pdf	192374	no	4
			a7bdec1ee7fc6e128ed7bb4975b784b9d113ac09		
Warnings:					
Information:					
40	NPL Documents	0365-00_09-520940_OA_01-30-04.pdf	748493	no	16
			baa591067698f2e12ae8c076348e9186dfa1e940		
Warnings:					
Information:					
41	NPL Documents	0365-00_09-520940_OA_02-25-03.pdf	448173	no	10
			bf751002e433c78a0efba467fa37d0f056618b82		
Warnings:					
Information:					
42	NPL Documents	0365-00_09-520940_OA_04-08-05.pdf	928423	no	20
			60b47d368dd6f3aafc1d30d61d678b1ab0a444cc		
Warnings:					
Information:					
43	NPL Documents	0365-00_09-520940_OA_06-25-02.pdf	455026	no	10
			b78201c37bb2e7a4793f55478621b171515c3d0a		
Warnings:					
Information:					
44	NPL Documents	0365-00_09-520940_OA_08-26-03.pdf	894302	no	19
			1e397cf0e320c4ee7a5bd5bfb18574042722e0e9		
Warnings:					
Information:					
45	NPL Documents	0365-00_09-520940_OA_10-05-04.pdf	797552	no	17
			43b624fa1e8784c371151d00a15efc6450d2167a		
Warnings:					
Information:					
46	NPL Documents	0362-00_09-520943_AdvisAction_03-12-2004.pdf	207074	no	5
			41dbb03134a80be79517295f8769b7f10c872a6d		
Warnings:					
Information:					

47	NPL Documents	0362-00_09-520943_BPAI-Decision_02-28-08.pdf	672838	no	19
			91538d5e96a903ef55b9a961afb3121e95dff3f5		
Warnings:					
Information:					
48	NPL Documents	0362-00_09-520943_Exam-Intvw-Summ_09-19-06.pdf	185850	no	4
			45530f9c7bee22780dbd91931fab6db527a05da9		
Warnings:					
Information:					
49	NPL Documents	0362-00_09-520943_Exam-Intvw-Summ_10-20-09.pdf	166053	no	3
			a32085da4f7cdd297e78fd1130203edd9dc47f3f		
Warnings:					
Information:					
50	NPL Documents	0362-00_09-520943_Exam-Answer_09-26-06.pdf	954694	no	24
			adace104fd8b04f18c157a9368bc6237395571de		
Warnings:					
Information:					
51	NPL Documents	0362-00_09-520943_Exam-Answer_11-07-05.pdf	1081932	no	28
			ccb1d763e03b85a04cc56056db0fd888c8b57137		
Warnings:					
Information:					
52	NPL Documents	0362-00_09-520943_OA_01-06-09.pdf	1264777	no	34
			6f7c101be516c36bc3b7bfe3b2e3588d087729f6		
Warnings:					
Information:					
53	NPL Documents	0362-00_09-520943_OA_01-13-05.pdf	1006818	no	25
			edb730dd2553d4e52d1713b674114149511e00f1		
Warnings:					
Information:					
54	NPL Documents	0362-00_09-520943_OA_03-11-03.pdf	529441	no	14
			6366983661f93aefffe579879eb9ead417a1a4aa		
Warnings:					
Information:					
55	NPL Documents	0362-00_09-520943_OA_07-08-09.pdf	1031426	no	28
			d7cca60cb3689192e6c5a9d791a10b3c74e05ddc		
Warnings:					
Information:					

56	NPL Documents	0362-00_09-520943_OA_07-14-08.pdf	1027597 83f0792d2aacba6ab142bb43c1978570918115f7	no	28
Warnings:					
Information:					
57	NPL Documents	0362-00_09-520943_OA_07-19-04.pdf	959769 6c33f670b08aba495825c3f352bc2337b2479329	no	22
Warnings:					
Information:					
58	NPL Documents	0362-00_09-520943_OA_11-18-03.pdf	936826 6e4d52c091559f1f054374b94dcd5b31389aee44	no	24
Warnings:					
Information:					
59	NPL Documents	0364-00_09-520944_OA_03-14-03.pdf	542278 57e9672da3735e07d1a43463dbe8d3c3d48951ea	no	13
Warnings:					
Information:					
60	NPL Documents	0367-00_09-521470_OA_04-23-03.pdf	431687 8c2b2ad94b453123962bef7413d0fb27b04da745	no	11
Warnings:					
Information:					
Total Files Size (in bytes):			29252478		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					